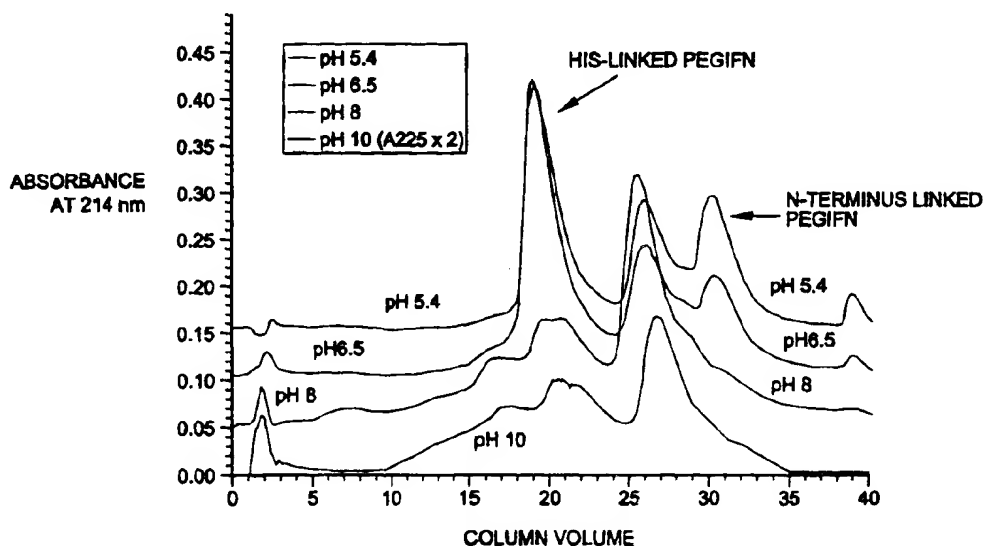




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : A61K 38/21, C07K 1/113, 14/56		A1	(11) International Publication Number: WO 99/32139
			(43) International Publication Date: 1 July 1999 (01.07.99)
(21) International Application Number: PCT/US98/26677		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 16 December 1998 (16.12.98)		Published With international search report.	
(30) Priority Data: 08/994,622 19 December 1997 (19.12.97) US			
(71) Applicant: ENZON, INC. [US/US]; 20 Kingsbridge Road, Piscataway, NJ 08854 (US).			
(72) Inventors: GILBERT, Carl, W.; 4655 Oakleigh Manor Drive, Powder Springs, GA 30127 (US). PARK-CHO, Myung-Ok; 1 207 Dong, A Apt., Chang-dong, Tobong-gu, Seoul (KR).			
(74) Agents: MERCANTI, Michael, N. et al.; Roberts & Mercanti, L.L.P., P.O. Box 484, Princeton, NJ 08542-0484 (US).			

(54) Title: IMPROVED INTERFERON POLYMER CONJUGATES



## (57) Abstract

Compositions containing alpha interferon conjugated to a substantially non-antigenic polymer are disclosed in which at least about 30 % of the conjugates include covalent attachment of the alpha interferon to the substantially non-antigenic polymer at a histidine. Also disclosed is a process for preparing the conjugates. The process includes contacting an alpha interferon with a succinimidyl carbonate-activated substantially non-antigenic polymer at a pH which is sufficient to facilitate covalent attachment of the polymer on a histidine of the alpha interferon.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## **IMPROVED INTERFERON POLYMER CONJUGATES**

### **BACKGROUND OF THE INVENTION**

#### **1. Field of the Invention**

The present invention is directed to interferon-polymer conjugates. In particular, the invention is directed to conjugates having a novel interferon-polymer attachment profile.

#### **2. Description of Related Art**

Conjugating biologically-active proteins to polymers has been suggested to improve one or more of the properties of circulating life, water solubility or antigenicity *in vivo*. For example, some of the initial concepts of coupling peptides or polypeptides to polyethylene glycol (PEG) and similar water-soluble polymers are disclosed in U.S. Patent No. 4,179,337, the disclosure of which is incorporated herein by reference.

Insulin and hemoglobin were among the first therapeutic agents conjugated. These relatively large polypeptides contain several free lysine  $\epsilon$ -amino attachment sites. Several polymers could be attached without significant loss of biologic activity.

For many biologically active materials, the conjugation process, however, is not without complications. Care must be taken to limit the loss of biological activity caused by the conjugation reaction. For example, if too much of the activated polymer is attached to the target protein or polypeptide, biological activity can be severely reduced or lost. Further, if the wrong linker joining the polymer to the protein is used or an insufficient amount of polymer is attached to the target, the therapeutic value of the resultant conjugate is rather limited. Often, such conjugates do not demonstrate enough of an increase in the circulating life to compensate for the loss in bioactivity. Problems can also result when a therapeutic moiety's active site (i.e. where groups associated with bioactivity are found) becomes blocked as a result of the polymer attachment. This problem can be difficult to avoid since the polymer and protein are typically joined in solution-based reactions. Pre-blocking the active sites with materials such as pyridoxal phosphate has been suggested, but the results have been inconsistent. The problems are particularly acute with lower molecular weight proteins and peptides.

These bioactive materials often have few attachment sites not associated with bioactivity.

Interferons, hereinafter also referred to as IFN's, are a particular example of proteins which could benefit from improved polymer conjugation techniques. See, for example, U.S. Patent Nos. 4,766,106 and 4,917,888 which describe inter alia beta interferon conjugated with activated polymers including mPEG-2,4,6-trichloro-S-triazine, mPEG-N-succinimidyl glutarate or mPEG-N-succinimidyl succinate. The patentees disclose that covalent modification of the protein is done at a pH of from 5 to 9 and, when the protein is reacted through its lysine residues, covalent modification of the protein is done at a pH of from 8 to 9. Relatively high molar excesses (10, 20 and 50-fold) of the activated polymer are also used.

European Patent Application bearing publication No. 0 236 987 describes reacting alpha and gamma interferons with high molar excesses of alkyl imido ester-activated polyethylene glycols under conditions which preferably include a pH of from approximately 7 to 9. European Patent Application bearing publication No. 0 510 356 describes conjugating alpha interferon with pyridinyl carbonyl and thiocarbonyl activated PEG at a pH of from 7 to 9. There was no mention in these disclosures that amino acids other than lysine were involved in the conjugation or that it would be advantageous to do so.

In spite of the above-described disclosures, most interferon-polymer conjugates have been deemed to be unacceptable for one reason or another.

The present invention addresses these shortcomings.

### SUMMARY OF THE INVENTION

In one aspect, the present invention includes pharmaceutical compositions containing a mixture of mono-polymer stranded alpha interferon conjugates. In the mixture, individual mono-polymer-IFN conjugates are defined as positional isomers, depending upon which amino acid residue is covalently attached to the polymer. Within this mixture is an isomer which is an alpha interferon covalently conjugated to a polymer at a histidine residue on the alpha interferon. The compositions are distinguishable from prior art products in part due to the fact that at least about 15%,

and preferably at least about 30%, of the interferon conjugates included as part of the composition have a polymer covalently attached to a histidine of the alpha interferon. Preferably, however, the conjugates or positional isomers contain about one polymer strand per alpha interferon, regardless of where the polymer is attached.

5 Still further aspects of the invention include methods of preparing alpha-interferon conjugates and compositions prepared by the methods. The IFN-polymer conjugates are prepared by reacting a solution containing alpha interferon with a sufficient amount of an oxycarbonyl-N-dicarboximide-activated polymer such as a succinimidyl carbonate activated PEG under conditions which are sufficient to effect  
10 covalent attachment of the polymer to the interferon, at least in part, to a His residue such as the His34 of alpha interferon. Part of these conditions include conducting the conjugation reaction within a pH range which is sufficient to facilitate covalent attachment of at least a portion of the polymer strands to histidine residue amino groups of the interferon molecules.

15 Suitable alpha-interferons include recombinant and non-recombinant alpha-interferons isolated from mammals. The polymer portion of the conjugate is preferably a polyalkylene oxide (PAO), such as a monomethoxy polyethylene glycol (mPEG). In alternative embodiments, other substantially non-antigenic polymers can also be used. The polymers preferably have a molecular weight of from about 200 to about 35,000.

20 The conditions for effecting conjugation include conducting the attachment reaction with from about an equi-molar to about a relatively small molar excess of the activated polymer with respect to the alpha-interferon. The conditions further include conducting the reaction at a pH of less than about 7 and preferably at a pH of from about 4.5 to about 6.8.

25 The invention also includes methods of treating alpha-interferon susceptible conditions in mammals. In this aspect, the treatment includes administering an effective amount of the composition containing the IFN conjugates described herein to mammals requiring such therapy.

30 As a result of the present invention, it has been unexpectedly found that additional improvements in interferon-polymer conjugate compositions are possible. For example, by modifying the conjugation conditions, it is now possible to obtain

compositions containing relatively high activity mono-polymer IFN conjugates in which a portion of the alpha interferon is attached at unique locations to polymers. In addition, it has been found that conducting the conjugation reaction with succinimidyl carbonate and some related oxycarbonyl-N-dicarboximide-type activated polymers, such as SC-PEG, at pH levels which are more acidic than that typically used for conjugation, will cause the polymer to attach not only at the expected lysine sites on the IFN molecule, but also selectively on histidine sites such as the preferred His34 amino acid on alpha interferons.

For a better understanding of the present invention, reference is made to the following description and drawings.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a series of chromatograms referred to in Example 11.

Figure 2 is a series of chromatograms referred to in Example 13.

### **DETAILED DESCRIPTION OF THE INVENTION**

#### **1. INTERFERONS**

The interferon (IFN) portion of the polymer conjugate can be prepared or obtained from a variety of sources including recombinant techniques such as those using synthetic genes expressed in *E. coli*. See also Pestka, "Interferon  $\alpha$ " in *Human Cytokines*, Blackwell Scientific Publications 1-16 (1992), the disclosure of which is incorporated herein by reference. In addition, the IFN can also be a mammalian source extract such as human, ruminant or bovine  $\alpha$ IFN. One particularly preferred IFN is IFN $\alpha$ -2b, a recombinantly-made product of the Schering Corp., Kenilworth, NJ.

The term "interferon" or "IFN" as used herein means the family of highly homologous species-specific proteins that inhibit viral replication and cellular proliferation and modulate immune response. Human interferons are grouped into three classes based on their cellular origin and antigenicity:  $\alpha$ -interferon (leukocytes),  $\beta$ -interferon (fibroblasts) and  $\gamma$ -interferon (B cells). Recombinant forms of each group have been developed and are commercially available. Subtypes in each group are based on antigenic/structural characteristics. At least 24 interferon alphas (grouped

into subtypes A through H) having distinct amino acid sequences have been identified by isolating and sequencing DNA encoding these peptides. See also Viscomi, 1996 Biotherapy 10:59-86, the contents of which are incorporated herein by reference. The terms " $\alpha$ -interferon", "alpha interferon", "interferon alpha" and "human leukocyte interferon" are used interchangeably in this application to describe members of this group. Both naturally occurring and recombinant  $\alpha$ -interferons, including consensus interferon such as that described in U.S. Patent No. 4,897,471, the contents of which are incorporated herein by reference, may be used in the practice of the invention.

The purification of interferon alpha from human leukocytes isolated from the buffy coat fraction of whole blood is described in U.S. Patent No. 4,503,035. Human leukocyte interferon prepared in this manner contains a mixture of human leukocyte interferons having different amino acid sequences. Purified natural human  $\alpha$ -interferons and mixtures thereof which may be used in the practice of the invention include but are not limited to Sumiferon® interferon alpha-n1 available from Sumitomo, Japan, Wellferon® interferon alpha-n1 (Ins) available from Glaxo-Wellcome Ltd., London, Great Britain, and Alferon® interferon alpha-n3 available from the Purdue Frederick Co., Norwalk, CT.

The advent of recombinant DNA technology applied to interferon production has permitted several human interferons to be successfully synthesized, thereby enabling the large-scale fermentation, production, isolation, and purification of various interferons to homogeneity. Recombinantly produced interferon retains its *in vitro* and *in vivo* antiviral and immunomodulatory activities. It is also understood that the recombinant techniques could also include a glycosylation site for addition of a carbohydrate moiety on the recombinantly-derived polypeptide.

The construction of recombinant DNA plasmids containing sequences encoding at least part of human leukocyte interferon and the expression in *E. coli* of a polypeptide having immunological or biological activity of human leukocyte interferon is disclosed in U.S. Patent No. 4,530,901 and European Patent No. EP 0 032 134. The construction of hybrid  $\alpha$ -interferon genes containing combinations of different subtype sequences (e.g., A and D, A and B, A and F) is disclosed in U.S. Patent Nos. 4,414,150, 4,456,748 and 4,678,751. Typical suitable recombinant  $\alpha$ -interferons

which may be used in the practice of the invention include but are not limited to interferon alpha-2b such as Intron® A available from Schering Corporation, Kenilworth, N.J., interferon alpha-2a such as Roferon® A available from Hoffmann-La Roche, Nutley, N.J., and Infergen® available from Amgen, Thousand Oaks, CA.

5            Alternate embodiments, where the foreign  $\alpha$ IFN is not completely autologous, may be also used if desired. A key, however, is that the non-autologous  $\alpha$ IFN has sufficient bioactivity or  $\alpha$ IFN effect such as antiviral activity in the target mammal. Other substances including  $\alpha$ IFN fractions or predecessor polypeptides can also be included in the conjugates of the present invention. As used herein, " $\alpha$ -IFN effect in mammals" means  
10    in vivo activity corresponding to that observed with  $\alpha$ IFN's. These substances are prepared by using techniques known to those of ordinary skill in the art such as tissue culture, extraction from animal sources or by recombinant DNA methodologies. Transgenic sources of  $\alpha$ IFN and related moieties are also contemplated. Such materials are obtained from transgenic animals, i.e. mice, pigs, cows, etc. where the  $\alpha$ IFN protein  
15    is expressed in milk, blood, or other tissues. The method by which the  $\alpha$ IFN is prepared for the conjugates of the present invention is not limited to those described herein. For purposes of the present invention, the  $\alpha$ IFN's are preferred because of their biochemical and serological properties. In particular,  $\alpha$ IFN has documented antiviral properties and diffuses more effectively into the bloodstream than other interferons.

## 20            2.    NON-ANTIGENIC POLYMERS

To conjugate the IFN to polymers such as poly(alkylene oxides), one of the polymer hydroxyl end-groups is converted into a reactive functional group which allows conjugation. This process is frequently referred to as "activation" and the product is  
25    called an "activated" polymer or activated poly(alkylene oxide). Other substantially non-antigenic polymers are similarly "activated" or functionalized.

The activated polymers are reacted with  $\alpha$ IFN so that attachment occurs at  $\epsilon$ -amino groups of lysines, the N-terminal cysteine amino group and, as described below, at amino groups on histidines. Free carboxylic acid groups, suitably activated carbonyl  
30    groups, oxidized carbohydrate moieties and mercapto groups if available on the IFN can also be used as supplemental attachment sites, if desired.



In a preferred aspect of the invention, urethane (carbamate) linkages are formed between one of the  $\alpha$ IFN amino acid amino groups (i.e. lysine, histidine, N-terminal), and the activated polymer. Preferably, the urethane linkage is formed using a terminal oxycarbonyl-oxy-N-dicarboximide group such as a succinimidyl carbonate group. Alternative activating groups include N-succinimide, N-phthalimide, N-glutarimide, N-tetrahydrophthalimide and N-norborene-2,3-dicarboxide. These urethane-forming groups are described in commonly owned U.S. Patent No. 5,122,614, the disclosure of which is hereby incorporated by reference. This patent also discloses the formation of N-succinimide carbonate derivatives of polyalkylene oxides including polyethylene glycols which are also capable of forming urethane linkages with lysine amino group targets.

Among the substantially non-antigenic polymers, mono-activated, alkoxy-terminated polyalkylene oxides (PAO's), such as monomethoxy-terminated polyethylene glycols (mPEG's) are preferred; bis-activated polyethylene oxides (glycols) are also contemplated for purposes of cross-linking  $\alpha$ IFN's or providing a means for attaching other moieties such as targeting agents for localizing the polymer- $\alpha$ IFN conjugate in a particular area such as, for example, the liver.

Suitable polymers will vary substantially by weight. Polymers having molecular number average weights ranging from about 200 to about 35,000 are usually selected for the purposes of the present invention. Molecular weights of from about 1,000 to about 15,000 are preferred and 2,000 to about 12,500 are particularly preferred.

The polymeric substances included are also preferably water-soluble at room temperature. A non-limiting list of such polymers include polyalkylene oxide homopolymers such as polyethylene glycol (PEG) or polypropylene glycols, polyoxyethylenated polyols, copolymers thereof and block copolymers thereof, provided that the water solubility of the block copolymers is maintained. In addition to mPEG, C<sub>1-4</sub> alkyl-terminated polymers are also useful.

As an alternative to PAO-based polymers, effectively non-antigenic materials such as dextran, polyvinyl pyrrolidones, polyacrylamides such as HPMA's-hydroxypropylmethacrylamides, polyvinyl alcohols, carbohydrate-based polymers, copolymers of the foregoing, and the like can be used. Those of ordinary skill in the art will realize that the foregoing list is merely illustrative and that all polymer materials

having the qualities described herein are contemplated. For purposes of the present invention, "substantially or effectively non-antigenic" means all materials understood in the art as being nontoxic and not eliciting an appreciable immunogenic response in mammals.

### 3. REACTION CONDITIONS

Conjugation reactions, sometimes referred to as PEGylation reactions, are often carried out in solution without regard to where the polymer will attach to the protein. Such techniques are also usually carried out at slightly alkaline i.e. pH 7+ to about 9 for conjugating  $\alpha$ IFNs. A key to the present invention, however, is that the retained IFN bioactivity can be maximized if the polymer is attached to a histidine, preferably His34 on IFN $\alpha$  2b. It will be appreciated by the artisan that although various species of the  $\alpha$ IFN may or may not have a histidine at amino acid 34, the interferon conjugates will nonetheless preferably include at least some positional isomers containing a polymer attached at an available histidine.

The processes of the present invention therefore includes reacting a solution containing an alpha interferon with an amount of an oxycarbonyl-oxy-N-dicarboximide-activated polymer such as succinimidyl carbonate-activated mPEG at a pH which is sufficient to facilitate covalent attachment of at least a portion of the polymer strands to a histidine, such as the His34 of IFN $\alpha$ 2b, of the individual interferon molecules. In particular, the pH will preferably be slightly acidic, i.e. less than about 7.0; more preferably, less than about 6.8 and most preferably in the range of from about 4.5 to about 6.8.

The reaction conditions for effecting conjugation further include conducting the attachment reaction with from about equi-molar to about a relatively small molar excess of the activated polymer with respect to the alpha-interferon. In this regard, the process can be carried out with about 1-8-fold molar excesses; preferably about 1.5-7-fold molar excesses and most preferably about 1.75-5-fold molar excesses. The conjugation reaction can be carried out at about room temperature, 20-25°C. It is also preferred that the coupling reaction be allowed to proceed for rather short periods of time, i.e. 1-2 hours, before quenching. In practice, the reaction conditions provide a mixture of polymer-IFN positional isomers. Preferably, each isomer contains a single polymer strand attached to

the interferon via an amino acid residue. In alternative embodiments, there can be more than one strand of polymer attached as a result of the process. Solutions containing these conjugates are also useful as is or can be further processed to separate the conjugates on the basis of molecular weight.

5           Characterization of the preferred one polymer strand-IFN conjugates (isomers) via cation exchange chromatography into separated peaks revealed that the polymer can be attached at up to about eight different sites on the IFN $\alpha$ 2b molecule. These sites, representing individual positional isomers, are Cys1, Lys31, His34, Lys49, Lys83, Lys121, Lys131, Lys134. In some preferred embodiments, the reaction pools containing mono-  
10           polymer-IFN conjugates can contain relatively high proportions of the His34 positional isomer, i.e. about 30-60%, the Cys1 positional isomer, about 7-20%, and the Lys121 positional isomer, about 7-15%, with the rest of the positional isomers comprising the remainder. It will be understood that alternative IFN's will provide alternative distributions of positional isomers, depending upon the amino acid sequence of the starting  
15           material.

Due to the nature of the solution-based conjugation reactions, the compositions are a heterogeneous mixture of species which contain the polymer strand(s) attached at different sites on the interferon molecule. In any solution containing the conjugates, it is likely that a mixture of at least about 3, preferably about 6 and more preferably about 8  
20           positional isomers will be present. For example, when IFN $\alpha$ 2b is used, the solution will contain conjugate isomers with the polymer attached at one or more of Cys1, Lys31, His34, Lys49, Lys83, Lys121, Lys131, and Lys134 of the interferon. In the case of IFN $\alpha$ 2b and the preferred forms of activated polymers described herein, the 3 most prominent sites of attachment are His34 (55%), Cys1 (15%) and Lys121 (15%).

25           A preferred composition of the invention is a mixture of the IFN-polymer isomers which are composed of at least about 15% His-polymer substituted-IFN. That is, at least about 15% of the conjugates include covalent attachment of the alpha interferon to the substantially non-antigenic polymer at a His. In more preferred aspects, at least about 30 %, and in most preferred aspects of the invention, at least about 40 % of the conjugates  
30           include the His34 covalent polymer attachment. When IFN $\alpha$ 2b or related IFN's are used, the histidine attachment site is preferably His34.

#### 4     **EFFECT OF REACTION pH UPON PEG-IFN POSITIONAL ISOMERS DISTRIBUTION**

5     The process of the present invention takes advantage of the discovery that the site of polymer attachment on alpha interferon is influenced to a large extent by the pH of the reaction system. As the pH of the reaction solution is varied, the reactivity towards specific forms of activated polymers of the various functional groups such as alpha- amines, imidazoles and epsilon amines will vary. Typically, polymer conjugation reactions are carried out at basic pHs in order to maximize attachment at lysine epsilon amino groups. For example, Zalipsky et al. Biotech. & App. Biochem., Vol 15, p.100-114; 10     (1992) evaluated the SC-PEG reagent for PEGylation and reported that the optimal reactivity was at about pH 9.3. The method of the present invention, however, includes conducting the reaction at lower pH's in order to allow a portion of the activated polymer strands to attach to histidine amino groups and de-emphasize, but not eliminate, lysine sites for attachment.

15     Furthermore, it has also been found that the biological activity of the various polymer conjugate positional isomers unexpectedly differs, even when each of the positional isomers has the same degree of polymer substitution.

20     The method described herein affords novel attachment of polymers such as PEG to a specific histidine residue in IFN molecules. In preferred embodiments, the conjugation reaction results in a substantial amount, i.e. at least about 30% of the conjugates being linked at IFN histidine sites such as the His34 on IFN $\alpha$  2b.

25     It has also been unexpectedly determined that the relative distribution of the positional isomers is largely dependent upon the pH at which the conjugation reaction is carried out. Shifting the pH from basic to slightly acidic pH (5.5-6.5) favors the formation of conjugates linked at His34 on IFN $\alpha$  2b, and to a lesser extent, the N-terminus (Cys1). Using pH(8-10) during the conjugation reaction, on the other hand, favors the formation of lysine-related attachment sites, confirmed via cation exchange chromatography. In those situations where IFN $\alpha$ 2b is not included, the His34 site, of course, may not always be present. The reaction conditions nonetheless allow covalent attachment of an activated 30     polymer to a His. Thus, Applicants have demonstrated that the pH of the reaction system influences the placement of some types of activated polymers on a protein surface,

especially with regard to different amino acid residues (i.e. lysine vs. N-terminal amine vs. histidine).

## 5. FRACTIONATION OF CONJUGATES

Although the inventive process produces a substantial amount of conjugates having a single polymer strand, conjugates having varying degrees of polyalkylene oxide substitution are also generated. Residual unconjugated PAO's and  $\alpha$ IFN can also be present. This mixture is typically in a reaction buffer containing one or more of phosphate, chloride and bicarbonate anions. The PAO,  $\alpha$ IFN and conjugate mixture is preferably fractionated in a buffer solution containing from about 1-10 mg/ml PAO- $\alpha$ IFN conjugates. Suitable fractionating solutions have a pH of from about 7.0 to about 9.0 and preferably from about 7.5 to about 8.5. The solutions preferably contain one or more buffer salts selected from KCl, NaCl,  $K_2HPO_4$ ,  $KH_2PO_4$ ,  $Na_2HPO_4$ ,  $NaH_2PO_4$ ,  $NaHCO_3$ ,  $NaBO_4$ ,  $(NH_4)_2CO_3$  and glycine NaOH. Sodium phosphate buffers are preferred.

Depending upon the reaction buffer, the  $\alpha$ IFN-polymer conjugate containing solution may first have to undergo buffer exchange/ultrafiltration. For example, the  $\alpha$ IFN conjugate solutions can be ultra filtered across a low molecular weight cut-off (10,000 to 30,000 Dalton) membrane which will also remove most surfactants, if present, as well.

The fractionation of the conjugates into desired species is preferably carried out using an anion exchange medium. Such media are capable of selectively binding those  $\alpha$ IFN-polymer conjugates having 1-4 polymer strands, excess polymer and unmodified  $\alpha$ IFN. This fractionation occurs since the  $\alpha$ IFN molecules of various degrees of substitution will have isoelectric points which vary in a somewhat predictable fashion. For example, the isoelectric point of  $\alpha$ IFN is determined by the number of available amino groups available on the surface of the protein. These amino groups also serve as the point of attachment of polyalkylene oxide conjugates. Therefore, as the degree of substitution of polyalkylene oxide increases, the isoelectric point decreases, and the ability of the conjugate to bind to an anion exchange resin weakens.

The use of strongly polar anion exchange resins is especially preferred for the method of the present invention. For this reason, quaternary amine coated anion exchange resins are utilized. The quaternary amine resin may be coated onto either a polymeric or silica matrix; however, polymeric matrices are preferred. A number of tetramethylamine,

or quaternary methylamine, anion exchange resins are commercially available, coated onto the support matrices. Included among the commercially available quaternary anion exchange resins suitable for use with the present invention are Q-HD available from Bio-Septra, QA TRISACRYL® and QMA-SPHEROSIL®, quaternary amine resins coated onto a polymer matrix, manufactured by IBF of Garenne, France, for Sepracor, Inc. of Marlborough, Massachusetts; TMAE650M®, a tetramethylamino ethyl resin coated onto a polymer matrix, manufactured by EM-Separators of Gibbstown, New Jersey; QAE550C®, and SUPERQC®, each a quaternary amine resin coated onto a polymer matrix and manufactured by TosoHaas of Montgomeryville, PA. QMA Accell, manufactured by Millipore of Millford, MA and PEI resins manufactured by JT Baker of Phillipsburg, NJ, may also be used.

The anion exchange resin is packed in the column and equilibrated by conventional means. A buffer having the same pH and osmolality as the conjugated  $\alpha$ IFN solution is used. The conjugate-containing solution is then adsorbed onto the column. At the completion of the loading, a gradient flow of an elution buffer with increasing salt concentrations is applied to the column to elute the desired fractions of polyalkylene oxide-conjugated  $\alpha$ IFN. The fractions are of essentially uniform molecular weight and degree of substitution.

Preferred IFN conjugate fractions have 1-4 polymer strands per  $\alpha$ IFN molecule. More preferably, the fraction contains about 1-2 and, most preferably, about 1 polymer strand per  $\alpha$ IFN molecule. The elution buffer preferably contains one or more salts selected from KCl, NaCl,  $K_2HPO_4$ ,  $KH_2PO_4$ ,  $Na_2HPO_4$ ,  $NaH_2PO_4$ ,  $NaHCO_3$ ,  $NaBO_4$  and  $(NH_4)_2CO_3$ . These fractions are substantially free of other conjugates. Any unconjugated species can then be backwashed from the column by conventional techniques.

Techniques utilizing multiple isocratic steps of increasing concentration can also be used. Multiple isocratic elution steps of increasing concentration will result in the sequential elution of  $\alpha$ IFN-polymer conjugates. The degree of polymer conjugation within each fraction will be substantially uniform. However, the degree of polymer conjugation for each fraction will decrease with elution time. Ion exchange purification of the conjugates can also be carried out with, for example, a Q-HD Column from Sepracor, Inc. along with a dilute sodium phosphate solution (10 mM  $NaPO_4$  ion). The

sample is washed with 10 mM NaPO<sub>4</sub> to remove any unreacted PAO and thereafter a step gradient elution with NaCl is used. Elution with 10 mM NaCl recovers fractions containing conjugates with greater than 3 polymer strands PAO per IFN; elution with 50 mM NaCl recovers conjugates containing 1-2 strands; elution with 150 mM NaCl recovers unmodified IFN.

The temperature range for elution is between about 4°C and about 25°C. Preferably, elution is carried out at a temperature of from about 6°C to about 22°C. The elution of the PAO- $\alpha$ IFN fraction is detected by UV absorbance at 254nm. Fraction collection may be achieved through simple time elution profiles. The preferred fractions can also be pooled in the elution buffer.

## 6. SURFACTANTS

In another preferred aspect, the reaction conditions include the presence of a surfactant. The surfactants used in the processes of the present invention are ionic-type agents. One particularly preferred agent is sodium dodecyl sulfate, (SDS). Other ionic surfactants such as lithium dodecyl sulfate, quaternary ammonium compounds, taurocholic acid, caprylic acid, decane sulfonic acid, etc. can also be used. Non-ionic surfactants can also be used. For example, materials such as polyoxyethylene sorbitans (Tweens), polyoxyethylene ethers (Tritons) can be used. See also Neugebauer, A Guide to the Properties and Uses of Detergents in Biology and Biochemistry (1992) Calbiochem Corp. The only limitations on the type of surfactant used in the processes of the invention are that they do not cause substantial denaturation of the IFN and do not completely inhibit polymer conjugation. The surfactants are present in the reaction mixtures in amounts from about 0.01-0.5 %; preferably from 0.05-0.5 %; and most preferably from about 0.075-0.25 %. Mixtures of the surfactants are also contemplated.

## 7. PHARMACOKINETIC PARAMETERS

As pointed out above, compositions of the present invention contain a heterogeneous mixture of polymer-IFN species in which the polymer strand(s) is/are attached at different sites on the interferon molecule. In spite of the heterogeneous nature of the conjugates, the compositions have a predictable *in vivo* pharmacokinetic profile which maximizes the therapeutic effect of the interferon.

Compositions of the present invention containing IFN $\alpha$  preferably include at least

about 15% polymer-His conjugates, more preferably at least about 30% and most preferably at least about 40% polymer-His conjugates. While Applicants are not bound by theory, it is believed that the linkage for the His-positional isomers included in the compositions of the invention is relatively labile vis à vis that of the Lys-positional isomers. As a result, at physiologic pH, the compositions demonstrate a relatively smooth onset on activity after administration as well as a prolonged duration of effect. This profile allows the artisan to administer the composition in less frequent doses than with unmodified IFN's.

## 8. METHODS OF TREATMENT

Another aspect of the present invention provides methods of treatment for various medical conditions in mammals, preferably humans. The methods include administering an effective amount of an  $\alpha$ IFN-polymer conjugate containing composition which has been prepared as described herein to a mammal in need of such treatment. The conjugates are useful for, among other things, treating interferon-susceptible conditions or conditions which would respond positively or favorably as these terms are known in the medical arts to interferon-based therapy.

Conditions that can be treated in accordance with the present invention are generally those that are susceptible to treatment with interferon alpha. For example, susceptible conditions include conditions which would respond positively or favorably as these terms are known in the medical arts to interferon alpha-based therapy. For purposes of the invention, conditions that can be treated with interferon alpha therapy include those conditions in which treatment with an interferon alpha shows some efficacy, but which may not be treatable with interferon alpha because the negative side effects outweigh the benefits of the treatment. For example, side effects accompanying alpha therapy have virtually ruled out treatment of Epstein Barr virus using interferon alpha. Practice of the invention results in substantially reduced or eliminated side effects as compared to conventional interferon alpha treatment.

Exemplary conditions which can be treated with interferon include but are not limited to cell proliferation disorders, in particular cancer (e.g., hairy cell leukemia, Kaposi's sarcoma, chronic myelogenous leukemia, multiple myeloma, basal cell



carcinoma and malignant melanoma, ovarian cancer, cutaneous T cell lymphoma), and viral infections. Without limitation, treatment with interferon may be used to treat conditions which would benefit from inhibiting the replication of interferon-sensitive viruses. Viral infections which may be treated in accordance with the invention include hepatitis A, hepatitis B, hepatitis C, other non-A/non-B hepatitis, herpes virus, Epstein-Barr virus (EBV), cytomegalovirus (CMV), herpes simplex, human herpes virus type 6 (HHV-6)), papilloma, poxvirus, picornavirus, adenovirus, rhinovirus, human T lymphotropic virus-type 1 and 2 (HTLV-1/-2), human rotavirus, rabies, retroviruses including human immunodeficiency virus (HIV), encephalitis and respiratory viral infections. The method of the invention can also be used to modify various immune responses.

Variants of interferon alpha are currently approved in the United States and other countries for the treatment of hairy cell leukemia, venereal warts, Kaposi's Sarcoma, and chronic non-A/non-B hepatitis: interferon alpha-2b, marketed under the trade name INTRON® A (Schering Corporation, Kenilworth N.J.), and interferon alpha-2a, marketed under the trade name Roferon® A (Hoffmann-La Roche, Nutley, N.J.), and consensus interferon marketed under the trade name Infergen™ (Amgen, Thousand Oaks, CA). Since interferon alpha-2b, among all interferons, has the broadest approval throughout the world for treating chronic hepatitis C infection, it is most preferred for use in the treatment of chronic hepatitis C in accordance with practice of the invention.

Administration of the described dosages may be every other day, but is preferably once or twice a week. Doses are usually administered over at least a 24 week period by injection.

Administration of the dose can be intravenous, subcutaneous, intramuscular, or any other acceptable systemic method. Based on the judgment of the attending clinician, the amount of drug administered and the treatment regimen used will, of course, be dependent on the age, sex and medical history of the patient being treated, the neutrophil count (e.g. the severity of the neutropenia), the severity of

the specific disease condition and the tolerance of the patient to the treatment as evidenced by local toxicity and by systemic side-effects. Dosage amount and frequency may be determined during initial screenings of neutrophil count.

Conventional pharmaceutical formulations can be also prepared using the conjugate-containing compositions of the present invention. The formulations comprise a therapeutically effective amount of the interferon-polymer conjugate composition together with pharmaceutically acceptable carriers. For example, adjuvants, diluents, preservatives and/or solubilizers, if needed, may be used in the practice of the invention. Pharmaceutical compositions of interferon including those of the present invention may include diluents of various buffers (e.g., Tris-HCl, acetate, phosphate) having a range of pH and ionic strength, carriers (e.g., human serum albumin), solubilizers (e.g., tween, polysorbate), and preservatives (e.g., thimerosol, benzyl alcohol). See, for example, U.S. Patent 4,496,537.

The amount of the  $\alpha$ -IFN polymer conjugate administered to treat the conditions described above is based on the IFN activity of the polymeric conjugate. It is an amount that is sufficient to significantly affect a positive clinical response. Although the clinical dose will cause some level of side effects in some patients, the maximal dose for mammals including humans is the highest dose that does not cause unmanageable clinically-important side effects. For purposes of the present invention, such clinically important side effects are those which would require cessation of therapy due to severe flu-like symptoms, central nervous system depression, severe gastrointestinal disorders, alopecia, severe pruritus or rash. Substantial white and/or red blood cell and/or liver enzyme abnormalities or anemia-like conditions are also dose limiting.

Naturally, the dosages of the various  $\alpha$ IFN compositions will vary somewhat depending upon the  $\alpha$ IFN moiety and polymer selected. In general, however, the conjugate is administered in amounts ranging from about 100,000 to about several million IU/m<sup>2</sup> per day, based on the mammal's condition. The range set forth above is illustrative and those skilled in the art will determine the optimal dosing of the conjugate selected based on clinical experience and the treatment indication.

The pharmaceutical compositions may be in the form of a solution, suspension,

tablet, capsule, lyophilized powder or the like, prepared according to methods well known in the art. It is also contemplated that administration of such compositions will be chiefly by the parenteral route although oral or inhalation routes may also be used depending upon the needs of the artisan.

### EXAMPLES

The following examples serve to provide further appreciation of the invention but are not meant in any way to restrict the effective scope of the invention.

#### EXAMPLE 1

##### Preparation of $\alpha$ IFN-PEG<sub>5,000</sub> in presence of SDS (0.1%)

In this example, recombinant  $\alpha$ IFN-2b, ( $\alpha$ IFN), a product of the Schering-Plough Corporation, Kenilworth, New Jersey was conjugated with activated polyethylene glycol-N-succinimide carbonate (SC-PEG) as described in U.S. Patent No. 5,122,614. The polymer had a molecular weight of about 5,000.

36 mg of the  $\alpha$ IFN was dialyzed into 0.1 molar sodium phosphate pH 7.5 using a Centricon-10 (a product of the Amicon Corporation of Beverly, Mass.). The final concentration of  $\alpha$ IFN was about 3 mg/ml. 0.1 ml of 10% SDS was added to the  $\alpha$ IFN and was allowed to incubate at room temperature for 10 minutes. Thereafter, 42 mg of SC-PEG<sub>5,000</sub> was added to the protein-SDS solution and stirred at room temperature for two hours and then quenched with glycine. Next, the reaction mixture was dialyzed into 10 mM sodium phosphate pH 8 to fractionate the PEGylated IFN using a Centricon-30.

#### EXAMPLE 2

##### Preparation of $\alpha$ IFN-PEG<sub>12,000</sub> in presence of SDS (0.1%)

In this Example, the steps of Example 1 were repeated except that the polyethylene glycol had a molecular weight of about 12,000. Reaction steps were exactly the same to provide the PEG<sub>12,000</sub> conjugate.

#### EXAMPLE 3

##### Fractionation of 2PEG<sub>5,000</sub> $\alpha$ IFN

In this Example the conjugates prepared in accordance with Example 1 were fractionated to obtain the desired 2-PEG<sub>5,000</sub> fraction. The PEG- $\alpha$ IFN in sodium phosphate buffer was loaded onto a QHD anion exchange column. The 2-PEG fraction was eluted with a gradient from 0 to 400 mM sodium chloride in 10 mM phosphate pH

8. The 2-PEG fraction was verified using size exclusion chromatography and SDS-PAGE.

#### **EXAMPLE 4**

##### **Fractionation of 2PEG<sub>12,000</sub>- $\alpha$ IFN**

5 The polymer conjugates of Example 2 were fractionated in the manner described in Example 3 and verified in the same manner.

#### **EXAMPLES 5-8**

10 In these examples, additional preparations of PEG<sub>12,000</sub>- $\alpha$ IFN were prepared as described previously except that no surfactant was used. Following the conjugation reactions, the samples were tested for retained activity and PEG number. The results are provided below in the table.

**Table 1**

<b>IFN-PEG<sub>12,000</sub> PREPARATION</b>	<b>ACTIVITY (CPE) % OF CONTROL</b>	<b>PEG #</b>
Example 6	26	1.2
Example 7	26	1.3
Example 8	24	1.0

#### **EXAMPLE 9**

##### **Comparative Data**

20 In this example, the product of Example 3, (SDS-2-PEG-<sub>5,000</sub>- $\alpha$ IFN), 2-PEG<sub>5,000</sub>- $\alpha$ IFN made in the absence of a surfactant and unconjugated  $\alpha$ IFN were tested. Activity was determined using a CPE assay with EMC virus challenging A549 human lung carcinoma cells. Circulating life was determined using an average value obtained from the blood of 3 rats in a group receiving 1 million units, with time points taken over 7 days.

**Table 2**

	ACTIVITY (%)	VIRAL PROTECTION ASSAY IC <sub>50</sub> (pg/ml)	CIRCULATING HALF LIFE α PHASE (HRS.)
A. IFN-SDS 2-PEG <sub>5,000</sub>	69	2.2	5.8
B. IFN-PEG <sub>5,000</sub>	30	4.0	6.8
C. IFN	100	1.5	0.17

This data clearly shows the advantages of the inventive process. Retained activity is over twice as great as that obtained using standard techniques.

**EXAMPLE 10**

In this example, various pharmacokinetic data was generated using 2PEG-αIFN conjugates prepared according to the methods described above. These samples were compared to unmodified IFN according to the protocol set out in Table 4. Sample B was prepared with SDS.

**Table 3**  
**Retained Activity**

SAMPLE	PEG MOLECULAR WEIGHT	CPE ACTIVITY (% CONTROL)
A	5,000	35
B	5,000	69
C	12,000	26
D	12,000	26

For example:

**Table 4**  
**Pharmacokinetic Protocol**

ANIMALS: Sprague Dawley (3 rates/time point)  
DOSE:  $10 \times 10^6$  UN IFN/rat  
ROUTE: Subcutaneous (S.C.)  
DRUG: 2-PEG-IFN $\alpha$ 's 5,000 and 12,000 mol. wt. PEG  
TIME POINTS: 0 min., 5 min., 15 min., 30 min., 1 hr., 2 hr., 4 hr., 8 hr., 24 hr., 48 hr., 5 days, and 7 days following drug administration.  
ASSAY: CPE Assay using serum samples in an EMC virus and A549 human lung carcinoma.

AUC = Area Under Curve,  $C_{max}$ ,  $T_{1/2\alpha}$ ,  $T_{1/2\beta}$  - all have their generally ascribed meanings known to those of ordinary skill.

**Tables 5 and 6**  
**Summary of Pharmacokinetics Data for PEG-Interferons**

**Table 5**

SAMPLE	IC <sub>50</sub> (pg/ml)	% ACTIVITY	AUC	C <sub>max</sub> (IU/ml)
NATIVE IFN $\alpha$	1.52 pg/ml (N=6)	100%	145,720	60,000
A	4.0 pg/ml (N=3)	35%	348,920	24,433
B	2.2 $\pm$ 0.5 pg/ml (N=3)	69%	351,037	-
C	5.8 $\pm$ 2.2 pg/ml (N=3)	26%	1,574,682	62,750

**Table 6**

SAMPLE	T <sub>max</sub> (hr)	T <sub>1/2</sub> $\alpha$ PHASE (hr)	T <sub>1/2</sub> $\beta$ PHASE (HR)
NATIVE IFN $\alpha$	1	0.17	-
A	4	6.8	48
B	2-3	5.8	-
C	8	12.1	33

The foregoing data provide the following conclusions:

2-PEG-r $\alpha$ IFN conjugates prepared with both 5,000 and 12,000 molecular weight have distinct advantages over unmodified interferon in mammals. In the case of subcutaneously administered compositions,  $T_{max}$  is substantially increased by the conjugation of the protein with about 2 PEG's. For chronic conditions, longer  $T_{max}$ 's are desirable and allow clinicians to space out recurring administrations due to the lengthening of the duration of effect. Even more unexpected, however, was the fact that 2-PEG<sub>12,000</sub> conjugates are able to unexpectedly increase AUC by over 10-fold. This dramatic increase in area under the curve was not proportional to the additional polymer weight. Clearly, therapeutic advantages are realized by this unexpected increase.

#### **EXAMPLE 11**

##### **Effect of pH on PEGylation**

In order to probe this effect, the polymer conjugation (PEGylation) reaction of Examples 5-8 was repeated using mPEG<sub>12,000</sub> (no surfactant) at four different pHs, 5.4, 6.5, 8.0 and 10.0. The ratio of 2.6 grams of SC-PEG<sub>12,000</sub> to 1 gram of IFN (molar ratio 3.9:1) was used for the reactions at pH 5.4, 6.5 and 8.0 while the ratio of 2.1 grams of SC-PEG<sub>12,000</sub> to 1 gram of IFN (molar ratio 3.2:1) was used at pH 10. At the end of the reaction, glycine was added to quench any residual PEGylation reagent. The product from each reaction was then purified using a Q-hyper D resin at pH 8 with salt elution to remove unreacted ingredients.

The purified conjugates obtained at the different pHs were evaluated for their biological activity, hydroxylamine sensitivity and distribution of positional isomers. Biological activity was determined by specific activity (MTT-CPE assay).

Hydroxylamine sensitivity was undertaken to determine what percentage of the conjugates were PEGylated at histidine sites, including the IFN-His34. Hydroxylamine is a known reagent that we have found to selectively cleave PEG from IFN histidines. An aliquot of each of the samples (50  $\mu$ l) was diluted with 0.45 ml of 10 mM sodium phosphate pH 7.0. An aliquot of this protein solution (150  $\mu$ l) was treated with 150  $\mu$ l of 0.5 M hydroxylamine and incubated at room temperature for 60 minutes. Thereafter,

a volume of 75  $\mu$ l was loaded on a Mini-S column (Pharmacia Biotech) for cation exchange chromatography. Mobile phase A included 10 mM sodium acetate pH 5.3 buffer and 25% 2-propanol. Mobile phase B contained 500 mM sodium chloride dissolved in mobile phase A. The flow rate was set at 0.5 ml/min and the eluted protein was detected at 214nm. The individual PEG-IFN solutions were diluted with 10 mM sodium acetate pH 5.3, containing 2-propanol (5%) to 1 mg/ml protein concentration. Injection volumes ranged from 10 to 30  $\mu$ l, depending upon the protein concentration. A linear gradient was used. The results are set forth in the Table 7 below and in Figure 1.

Figure 1 shows the overlay of the chromatograms obtained from the Mono-S cation exchange chromatography column of the different pH reaction products. The site of polymer conjugation for each positional isomer was determined by digestion of individual peaks from cation exchange chromatography using proteolytic enzymes (trypsin, V8-protease, chymotrypsin or subtilisin), isolation of PEGylated fragments, and analysis by N-terminal sequencing and mass spectroscopy.

As seen in the figure, the distribution of the positional isomers changes significantly as the pH of the reaction changes. The higher the pH, the less His34-linked PEG-IFN and, less dramatically, Cys1-linked PEG-IFN products are produced.

Table 7 summarizes the specific bioactivity as determined using the MTT-CPE bioassay for IFN and the amount of IFN released upon treatment with 0.5M hydroxylamine for 2 hours at 25°C for the different conjugate products. These findings confirm that the differences seen in Figure 1 can also be related to different biological characteristics of the products. When the conjugation is conducted at a higher pH (i.e. 8 or 10) the products formed are less bioactive and more resistant to hydroxylamine, which therefore means that at higher pH's, less polymer is on His34.



**Table 7****Bioactivities and Hydroxylamine Sensitivities of PEG-IFNs Generated at Different pHs**

Reaction pH	Specific Activity (CPE assay) MIU/mg	% of Conjugate Converted to IFN by Hydroxylamine
5.4	61.8	56%
6.5	74.5	47%
8.0	33.3	8%
10.0	27.8	< 1%

The above results indicate that pH is a key variable of the conjugation reaction and that the relative distribution of the positional isomers varies dramatically with pH. Unexpectedly, the bioactivity of the resultant PEG-IFN mixture of positional isomers is also affected.

**EXAMPLE 12****Comparison of Urethane Linkage Forming Activated Polymers**

In this example, effect of pH on reaction conditions was compared using a different type of urethane linker to see if the activating group had any role in determining the site of polymer attachment and bioactivity. In particular, the Methoxypoly(ethylene glycol)-succinimidyl carbonate MW 12,000 (SC-PEG<sub>12,000</sub>) used in the earlier examples was compared with methoxypoly(ethylene glycol)-2-pyridyl carbonate, MW 12,000 (PC-PEG<sub>12,000</sub>) disclosed in U. S. Patent 5,382,657, as the activated polymer reagents for interferon alpha-2b (IFN). The conjugation reactions were carried out for both reagents, SC-PEG<sub>12,000</sub> and PC-PEG<sub>12,000</sub>, at pH 6.5 and 10.0. The conditions used to generate the 4 monopegylated IFN samples for analysis were 1) SC-PEG<sub>12,000</sub> @ pH 6.5; 2) PC-PEG<sub>12,000</sub> @ pH 6.5; 3) SC-PEG<sub>12,000</sub> @ pH 10.0; and 4) PC-PEG<sub>12,000</sub> @ pH 10.0. In each pH 6.5 case, a 3.9 to 1 molar ratio of PEG: IFN was used. In each pH 10.0 case, a 3.2:1 molar ratio of PEG:IFN was used. These conditions were chosen to evaluate the influence of both reaction pH and linker on the composition of the final product.

The conjugated material from each reaction condition was recovered and tested

for biological activity (CPE assay) and for distribution of positional isomers using Mini-S chromatography assay.

The PEG-IFN generated by reacting IFN with PC-PEG<sub>12,000</sub> at pH 6.5 had lower biological activity than that made with SC-PEG<sub>12,000</sub> in spite of both reagents forming urethane bonds. Thus, it was shown that in spite of the similarity between the linkers, SC-PEG, an oxycarbonyl-oxy-N-dicarboximide-activated polymer, more preferentially attaches to His34. Interestingly, however, the PEG-IFN products generated by carrying out the reaction at pH 10 with both PC-PEG<sub>12,000</sub> and SC-PEG<sub>12,000</sub> had similar biological activities. In both cases, however, the activities were lower than that obtained for SC-PEG<sub>12,000</sub> at pH 6.5.

Mini-S chromatography assays showed that histidine-34-linked PEG-IFN is the major positional isomer present when using SC-PEG<sub>12,000</sub> at pH 6.5. Lysine-121-linked PEG-IFN is the major positional isomer present when the reaction is carried out at pH 6.5 using PC-PEG<sub>12,000</sub>. At pH 10, Lysine-121-linked PEG-IFN is the major product using either reagent. See Table 8.

Thus, the use of acidic pH and an oxycarbonyl-oxy-N-dicarboximide-activated polymer, i.e. SC-PEG, produce conjugates which are unique products which cannot be reproduced by substituting another urethane bond-forming activated polymer such as PC-PEG<sub>12,000</sub> in place of SC-PEG<sub>12,000</sub>.

The above materials contained less than 5% total di-PEG and multi-PEG-IFN as indicated by the size-exclusion HPLC assay.

**Table 8**

**Summary of MiniS Assay Results**

**PEAK NUMBER - (Area Percent)**

<u>Sample</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
SC-PEG; pH 6.5	2.1	63	ND	0.7	11.8	5.6	3.4	13.3
PC-PEG; pH 6.5	ND	4.8	9	9.6	33.8	13	3.8	25.9
SC-PEG; pH 10	ND	ND	14.8	11.2	57.6	9.5	3.1	3.8
PC-PEG; pH 10	ND	ND	9.6	13.8	51.7	13.7	3.5	7.8

ND: not detected

Peak Assignment: Peak 2: His-34 linked PEG-IFN ; Peak 4: Lys-31 linked PEG-IFN;  
Peak 5: Lys-121 linked PEG-IFN; Peak 6: Lys-49 linked PEG-IFN;  
Peak 7: Lys-83 linked PEG-IFN; Peak 8: N-terminus (cysteine) linked PEG-IFN

### EXAMPLE 13

#### Cation Exchange Chromatography Characterization

In this example, analytical separation of several batches of PEG-IFN product produced using the procedure of Example 11 (pH 6.5) was carried out using cation exchange chromatography in order to determine the sites of polymer attachment and identify the individual positional isomers. The cation exchange apparatus was a Mini-S column (Pharmacia Biotech). Mobile phase A included 10 mM sodium acetate pH 5.3 buffer and 25% 2-propanol. Mobile phase B contained 500 mM sodium chloride dissolved in mobile phase A. The flow rate was set at 0.5 ml/min and the eluted protein was detected at 214nm. The individual PEG-IFN solutions were diluted with 10 mM sodium acetate pH 5.3, containing 2-propanol (5%) to 1 mg/ml protein concentration. Injection volumes ranged from 10 to 30  $\mu$ l, depending upon the protein concentration. The following linear gradient was used:

Time (min)	A(%)	B(%)
0	100	0
5	93	7
50	83	17
60	0	100
65	0	100
66	100	0
75	100	0

The results are provided in Table 9 below and graphically illustrated in Figure 2.

**Table 2**

**Area Percent Quantification of PEG-IFN Batches by Cation Exchange  
Chromotography**

Batch	Peak 2	Peaks 3/4	Peak 5	Peak 6	Peak 7a	Peak 7b	Peak 8
1	2.6	53.2	5.3	14.2	6.5	3.4	17.2
2	1.5	54.7	3.3	12.6	6.1	3.2	18.6
3	1.6	55.3	2.4	11.9	5.5	3.2	20.1
4	1.7	55.1	2.6	11.6	5.3	3.1	20.5
5	1.7	54.3	2.7	11.8	5.6	3.2	20.7
6	1.7	54.5	2.6	11.8	5.3	2.9	21.1
7	1.9	54.2	2.3	11.6	5.2	3.2	21.5

Main Peak Assignment: Peak 2: Lys-134 linked EPG-IFN; Peak 3/4: His-34 linked PEG-IFN; Peak 6: Lys-121 linked PEG-IFN and Lys-131 linked PEG-IFN; Peak 8: Cys-1 linked PEG-IFN.

These results illustrate that a majority of the conjugates were found in peaks 3 and 4 (His-34 linked PEG-IFN). The results also show that contrary to what was expected, most of the conjugates were formed by attaching the polymer to a histidine rather than one of the lysine amino groups.

Other embodiments of the invention will be apparent to one skilled in the art from a consideration of this specification or practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with the true scope and spirit of the invention being indicated by the following claims.

**WHAT IS CLAIMED IS:**

1. A pharmaceutical composition, comprising a mixture of alpha interferon polymer conjugate positional isomers, wherein one of said positional isomers comprises an alpha interferon covalently conjugated to a substantially non-antigenic polymer at a histidine residue on said alpha interferon.
2. The pharmaceutical composition of claim 1, wherein said alpha interferon is interferon alpha 2b.
3. The pharmaceutical composition of claim 2, wherein said histidine residue is His34.
4. The pharmaceutical composition of claim 1, wherein said mixture of said alpha interferon positional isomers comprises at least about 3 positional isomers.
5. The pharmaceutical composition of claim 4, wherein said mixture of said alpha interferon positional isomers comprises at least about 6 positional isomers.
6. The pharmaceutical composition of claim 5, wherein said mixture of said alpha interferon positional isomers comprises at least about 8 positional isomers.
7. The pharmaceutical composition of claim 6, wherein said alpha interferon is alpha interferon 2b and the positional isomers are selected from the group consisting of Cys1, Lys31, His34, Lys49, Lys83, Lys121, Lys131 and Lys134.
8. The pharmaceutical composition of claim 1, wherein said polymer comprises a polyalkylene oxide.
9. The pharmaceutical composition of claim 8, wherein said polyalkylene oxide is a polyethylene glycol.
10. The pharmaceutical composition of claim 8, wherein said polyalkylene oxide is a monomethoxy-polyethylene glycol, (mPEG).
11. The pharmaceutical composition of claim 1, wherein said substantially non-antigenic polymer has a molecular weight of from about 200 to about 35,000.
12. The pharmaceutical composition of claim 11, wherein said substantially non-antigenic polymer has a molecular weight of from about 1,000 to about 15,000.
13. The pharmaceutical composition of claim 12, wherein said substantially non-

antigenic polymer has a molecular weight of from about 2,000 to about 12,500.

14. The pharmaceutical composition of claim 1, wherein said polymer is selected from the group consisting of polypropylene glycol, dextran, polyvinyl pyrrolidones, polyacryl amides, polyvinyl alcohols and carbohydrate-based polymers.
15. An alpha interferon-containing composition, comprising a plurality of alpha interferon polymer conjugates, wherein at least about 15% of the conjugates include covalent attachment of said substantially non-antigenic polymer at a histidine of said alpha interferon.
16. The composition of claim 15, wherein the alpha interferon portion of said composition is alpha interferon 2b and said histidine is His34.
17. The composition of claim 15, wherein at least about 30 % of said conjugates include covalent attachment of said substantially non-antigenic polymer at histidine-34 of said alpha interferon.
18. The composition of claim 17, wherein at least about 40 % of said conjugates include covalent attachment of said substantially non-antigenic polymer at histidine-34 of said alpha interferon.
19. A pharmaceutical composition, comprising a mixture of alpha interferon 2b-polymer positional isomers, wherein from about 30 to about 60% of the positional isomers include a substantially non-antigenic polymer conjugated to the His34 of said alpha interferon, from about 7 to about 20% of the positional isomers include a substantially non-antigenic polymer conjugated to the Cys1 of said alpha interferon and about 7 to about 15% of the positional isomers include a substantially non-antigenic polymer conjugated to the Lys121 of said alpha interferon.
20. The pharmaceutical composition of claim 19, wherein about 55% of the positional isomers include a substantially non-antigenic polymer conjugated to the His34 of said alpha interferon, about 15% of the positional isomers include a substantially non-antigenic polymer conjugated to the Cys1 of said alpha interferon and about 15% of the positional isomers include a substantially non-antigenic polymer conjugated to the Lys121 of said alpha interferon.

21. A method of preparing alpha-interferon conjugates, comprising contacting an alpha interferon with a sufficient amount of an oxycarbonyl-oxy-N-dicarboximide-activated substantially non-antigenic polymer under conditions which are sufficient to facilitate covalent attachment of said substantially non-antigenic polymer at a histidine of said alpha interferon.
22. The method of claim 21, wherein said oxycarbonyl-oxy-N-dicarboximide is succinimidyl carbonate.
23. The method of claim 21, wherein said conditions include conducting said contacting at a pH of less than about 7.0.
24. The method of claim 23, wherein said conditions include conducting said contacting at a pH of less than about 6.8.
25. The method of claim 24, wherein said conditions include conducting said contacting at a pH of from about 4.5 to about 6.8.
26. The method of claim 21, wherein said activated substantially non-antigenic polymer is present in a molar excess with respect to said alpha interferon.
27. The method of claim 26, wherein said polymer molar excess is from about 1 to about 8-fold.
28. The method of claim 27, wherein said polymer molar excess is from about 1.5 to about 7-fold.
29. The method of claim 28, wherein said polymer molar excess is about 1.75 to about 5-fold.
30. The method of claim 21, wherein said substantially non-antigenic polymer comprises a polyalkylene oxide.
31. The method of claim 30, wherein said polyalkylene oxide is a polyethylene glycol.
32. The method of claim 21, wherein said substantially non-antigenic polymer has a molecular weight of from about 200 to about 35,000.
33. The method of claim 32, wherein said substantially non-antigenic polymer has a molecular weight of from about 1,000 to about 15,000.
34. The method of claim 33, wherein said substantially non-antigenic polymer has a

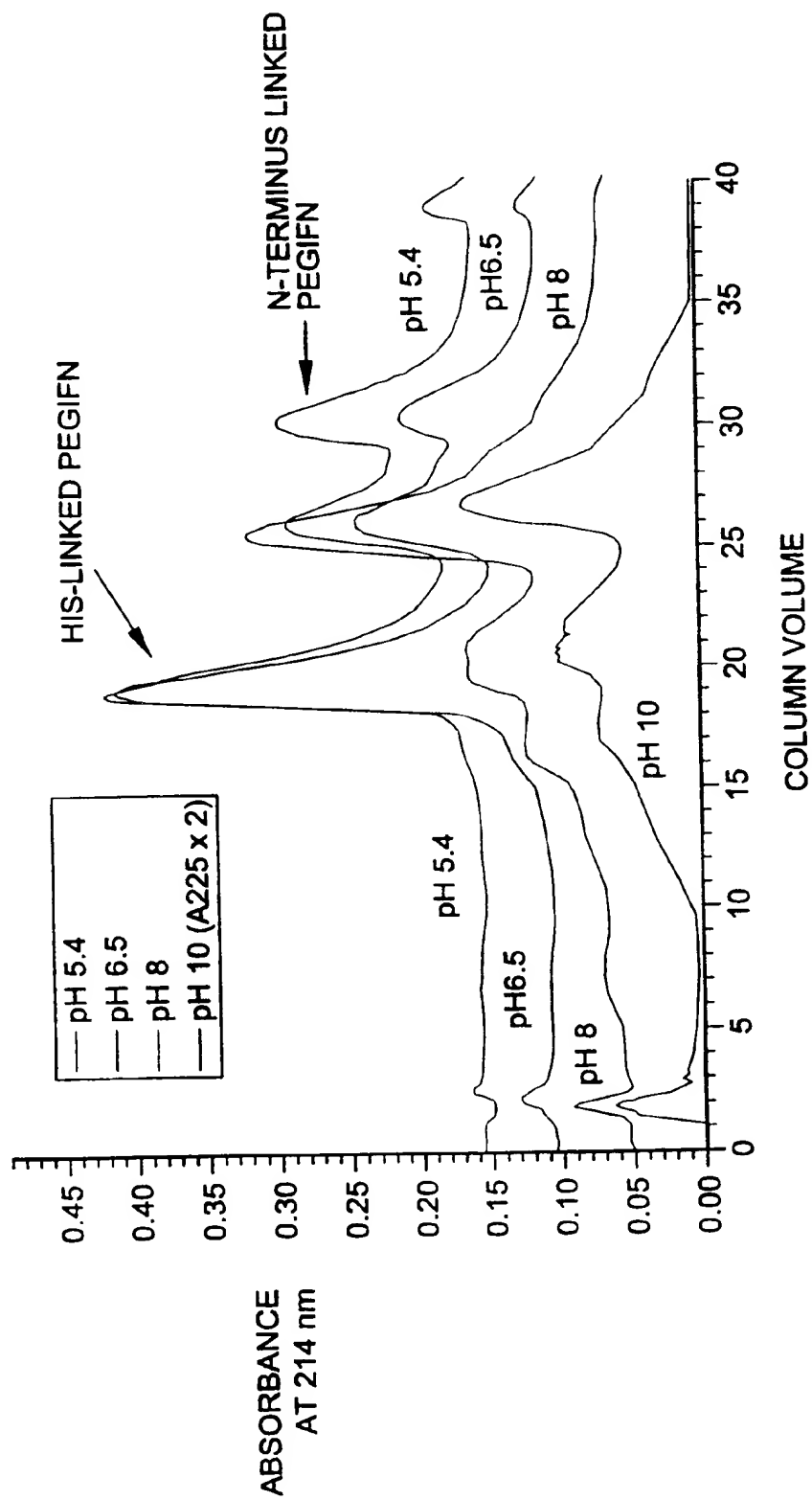
molecular weight of from about 2,000 to about 12,500.

35. The method of claim 21, wherein said alpha interferon is interferon alpha 2b.
36. A method of treating an interferon-susceptible condition in mammals, comprising administering an effective amount of a composition of claim 1.
37. A method of treating an interferon-susceptible condition in mammals, comprising administering an effective amount of a composition of claim 15.
38. A method of treating an interferon-susceptible condition in mammals, comprising administering an effective amount of a composition of claim 19.
39. A substantially non-antigenic polymer-interferon conjugate prepared according to the method of claim 21.



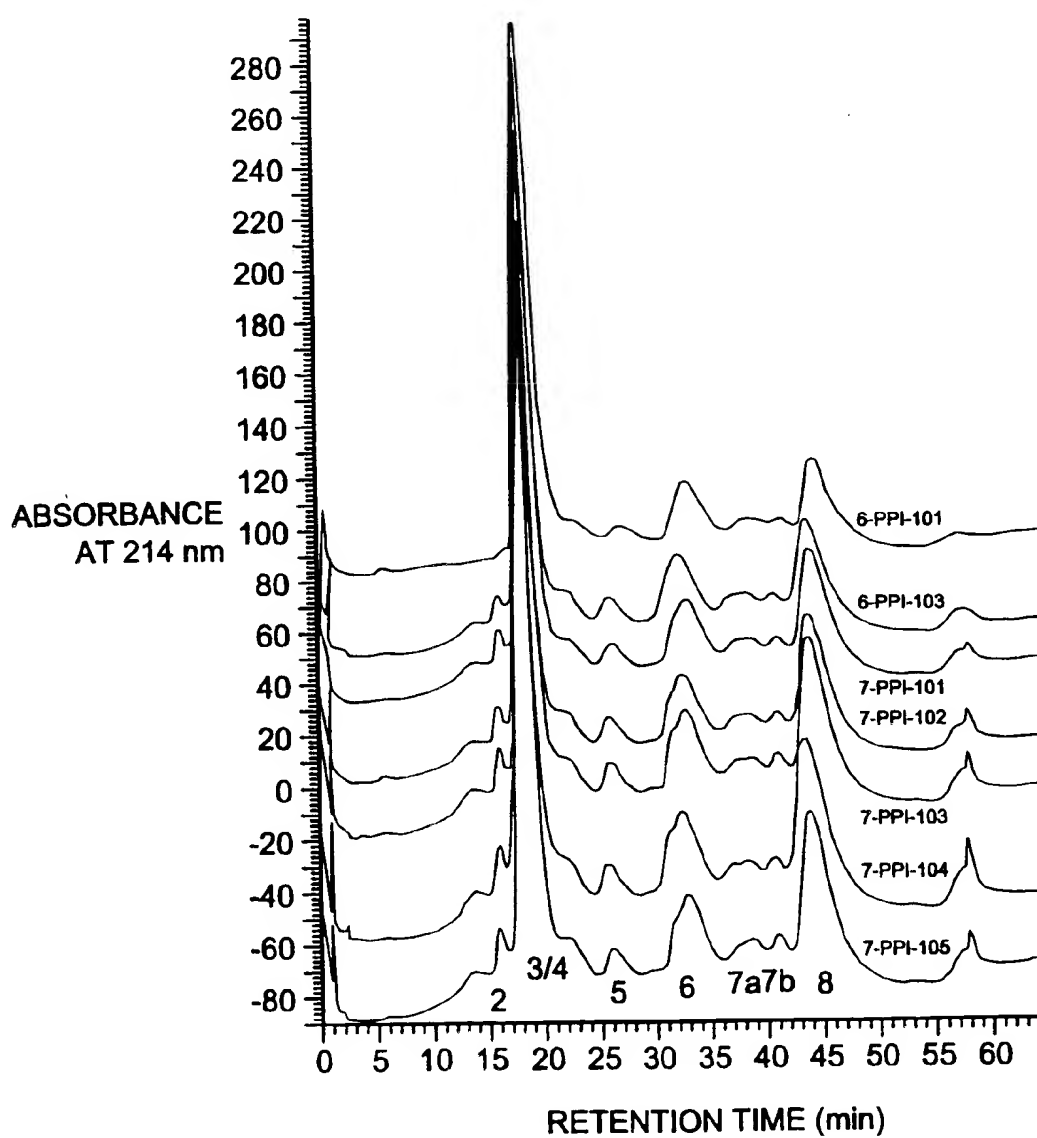
1/2

FIG-1



2/2

FIG-2



## INTERNATIONAL SEARCH REPORT

 International application No.  
PCT/US98/26677

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 38/21; C07K 1/113, 14/56

US CL : 424/85.7; 530/351, 409, 410

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/85.4, 85.7; 530/351, 409, 410, 411

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG

search terms: interferon, alpha, leukocyte, succinimid, histidine, conjugate, polyethylene glycol

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 5,738,846 A (GREENWALD ET AL) 14 April 1998 (14/04/98), column 3, lines 11-15, Examples 1-4.	1-9, 11-13, 15-27, 30-39
A	EP 0 809 996 A2 (F. HOFFMANN-LA ROCHE AG) 03 December 1997 (03/12/97), Examples 1, 4, 6.	1-39
A	US 5,122,614 A (ZALIPSKY) 16 June 1992 (16/06/92).	1-39
A,P	US 5,711,944 A (GILBERT ET AL) 27 January 1998 (27/01/98).	1-39
A,P	US 5,762,923 A (GROSS ET AL) 09 June 1998 (09/06/98).	1-39

☒ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

09 FEBRUARY 1999

Date of mailing of the international search report

02 MAR 1999

 Name and mailing address of the ISA/US  
 Commissioner of Patents and Trademarks  
 Box PCT  
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JEFFREY E. RUSSEL

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/26677

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BORUKHOV et al. Chemical Modification Of The Recombinant Human $\alpha$ A- And $\beta$ -Interferons. Biochemical And Biophysical Research Communications. 28 Febraury 1990, Volume 167, Number 1, pages 74-80.	1-39